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served if the carbon atom 2s orbital contained one unit of unpaired spin density. The difficulty with this approach is that there is very likely a contribution to  $a^{C^{13}}$ from the 1s orbital of the carbon atom. Karplus and Fraenkel<sup>24</sup> have estimated this contribution for sp<sup>2</sup>hybridized carbon atoms and found that it is approximately two-thirds as large as the 2s contribution and of opposite sign. For tetrahedral carbon atoms there is undoubtedly a similar contribution, although not necessarily of the same magnitude. As a result the estimate of  $\rho_{2s}$  by Stock and Suzuki is likely to be too low, perhaps by as much as a factor of 2. Spin densities produced in the C<sup>13</sup> 1s orbital will not affect significantly the proton hfs so we are correct in using the value of  $\rho_z$ determined in our Hückel calculations to estimate  $Q_t$ .

Finally, we have examined the effect of *t*-butyl substituents on the ring proton hyperfine splittings from the point of view of the additivity rules of Venkataraman and Fraenkel.<sup>3</sup> These rules work reasonably well for methyl substitution in *p*-benzosemiquinones. We have attempted to fit our data on 2-*t*-butyl-*p*-, 2,5-di-*t*butyl-*p*-, and 2,6-di-*t*-butyl-*p*-benzosemiquinone and have found that it is not possible to obtain a set of additivity constants which give good agreement with the experimental values of the splitting constants. This may be a further indication of a direct interaction between the *t*-butyl groups and the carbonyl group.

## Conclusions

We have obtained the esr spectra of seven *t*-butylsubstituted semiquinones and have resolved the *t*-butyl

(24) M. Karplus and G. K. Fraenkel, J. Chem. Phys., 35, 1312 (1961).

proton splittings in six of these radicals. In the *p*-benzosemiquinones this splitting is 0.060 gauss and in the o-benzosemiquinones it is 0.3 gauss in the fourth and fifth ring position but less than 0.03 gauss in the third and sixth position. The most reasonable mechanism whereby *t*-butyl proton splittings may arise is a transfer of spin density to the  $\beta$ -carbon atoms via carbon–carbon hyperconjugation and thence to the *t*-butyl protons via the usual spin-polarization mechanism. Based on this model we estimate that the Q value for the spin-polarization mechanism is approximately 44 gauss. This quite reasonable value for Q probably indicates the essential correctness of the model and provides the expected result that tetrahedral obitals are more effective than p, orbitals in polarizing hydrogen 1s orbitals. The parameters used in our HMO calculations indicate that carbon-carbon hyperconjugation is somewhat less effective than carbon-hydrogen hyperconjugation. In addition the HMO calculations suggest that the *t*-butyl group has a larger inductive effect upon the aromatic ring than the methyl group and that an interaction between the substituent (either methyl or t-butyl) and adjacent carbonyl groups may occur, although the nature of this interaction is somewhat uncertain. The fact that the additivity relationships of Venkataraman and Fraenkel do not apply to *t*-butyl substitution in *p*-benzosemiquinones may suggest a stronger interaction of the carbonyl group with the *t*-butyl group than with the methyl group.

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# Carbon-13 Magnetic Resonance. XI.<sup>1a</sup> Structural and Electronic Effect on the Carbon-13 Nuclear Magnetic Resonance Spectra of the Halogen-Substituted Methanes<sup>1b</sup>

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Abstract: The carbon-13 chemical shifts of the halomethanes exhibit anomalous trends which cannot be explained by any simple additive relationship in the number of halogen substituents. The addition of a pair-interaction term in a linear expression, however, allows reasonable correlation of the chemical shift data. These parameters are discussed theoretically in terms of charge polarization, the steric influence of halogen substituents on the effective orbital radii, and deviations from the classical bond structure. Polarization effects upon the chemical shift are attributed to changes in the effective nuclear charge and its accompanying alteration of the  $\langle 1/r^3 \rangle$  term in the paramagnetic screening expression. Steric effects produce changes in the bond lengths which are reflected in the effective radius of the electron as shown by further changes in the  $\langle 1/r^3 \rangle$  term. Deviation from perfect pairing alters the extent of spin pairing of two interacting electrons on the same carbon, and this affects the preferential admixture by the magnetic field of excited states having intrinsic angular momentum into the ground state of the molecules.

The carbon-13 chemical shifts of the halogen-substituted methanes were reported quite early by Lauterbur,  $^2$  but a satisfactory theoretical explanation of the

(1) (a) Previous paper in this series: R. J. Pugmire and D. M. Grant, J. Am. Chem. Soc., 90, 697 (1968). (b) This is an essential portion of a thesis submitted to the Department of Chemistry, University of Utah, in partial fulfillment of the requirements for a Ph.D. degree.

somewhat anomalous experimental values has been needed. The chemical shifts of the iodine and chlorine series,  $CH_{4-n}X_n$ , were found<sup>2</sup> to exhibit values above and below, respectively, that of methane, while the trend in chemical shift values reverses itself at  $CH_2Br_2$  in the

(2) P. C. Lauterbur, Ann. N. Y. Acad. Sci., 70, 841 (1958).

bromine series. It is understandable that this divergence in the chemical shifts might be considered to be unusual. While this paper reports more accurate shift values for some of the compounds by using the protondecoupling technique,<sup>3</sup> the central purpose of this work is concerned with a discussion of the chemical shift features reported earlier.<sup>2</sup>

Since Ramsey<sup>4</sup> presented an expression for the chemical shift Hamiltonian, several investigations have dealt with the calculation of the chemical shift parameter using both MO<sup>1,5-11</sup> and VB<sup>11,12</sup> approximations. In addition, much discussion has been based on the anisotropy<sup>7, 13-15</sup> of remote groups and the importance of inductive terms ensuing from electronegativity considerations.<sup>15</sup> It is felt, however, that none of these previous approaches offers an adequate explanation of the trends noted in the halogenated methanes.

Cheney and Grant<sup>12</sup> have extended the VB formulation of Jameson and Gutowsky<sup>11</sup> to include canonical structures other than the principle bond structure. In this formulation substituent group effects arise from minor perturbations of the electronic structure of the carbon-13 atom, and in addition to normal inductive effects a linear parameterization of the chemical shift data becomes possible for minor deviations from perfect pairing. Thus, part of the anomalous trends in the carbon-13 chemical shifts of the halomethanes can be rationalized in terms of bond delocalization. The perturbation approach, used here for the evaluation of chemical shifts, suffers from the limitations of the average energy approximation. The work of McLachlan<sup>16</sup> and of Karplus,<sup>17</sup> however, lends validity to this approximation for the class of compounds considered in this paper.

The concept of "bond effective nuclear charge" used in our previous work to rationalize the directly bonded C<sup>13</sup>-H coupling constants<sup>18</sup> found for this class of compounds is incorporated in our calculations to account for important inductive effects upon the average orbital radius on which the paramagnetic screening constant depends. The use of a variable effective nuclear charge is similar to that employed in previous papers<sup>1,5,12</sup> in this series.

#### **Experimental Section**

The halogen-substituted methanes were all obtained from standard commercial sources and with the exception of iodoform were run as neat liquids. Iodoform was dissolved in pyridine to the extent of saturation. The samples were sealed in tubes after being degassed by a vacuum-freezing technique.

- (3) E. G. Paul and D. M. Grant, J. Am. Chem. Soc., 86, 2977 (1964).
  (4) N. F. Ramsey, Phys. Rev., 78, 699 (1950); 86, 243 (1952).
  (5) T. D. Alger, D. M. Grant, and E. C. Paul, J. Am. Chem. Soc., 88, 567 (1966). 5397 (1966).
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  - (7) J. A. Pople, Proc. Roy. Soc. (London), A239, 541, 550 (1957).
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    (11) C. J. Jameson and H. S. Gutowsky, *ibid.*, 40, 1714 (1964).
- (12) B. V. Cheney and D. M. Grant, J. Am. Chem. Soc., 89, 5319
- (1967).

  - (13) J. I. Musher, J. Chem. Phys., 35, 1159 (1961).
    (14) H. M. McConnell, *ibid.*, 27, 226 (1957).
    (15) H. Spiesecke and W. G. Schneider, *ibid.*, 35, 722, 731 (1961).
- (16) A. D. McLachlan, *ibid.*, 32, 1263 (1960).
  (17) M. Karplus, *ibid.*, 33, 941 (1960).
  (18) D. M. Grant and W. M. Litchman, J. Am. Chem. Soc., 87, 3994 (1965).

A Varian V-4311 high-resolution spectrometer and a Varian V-4320 proton decoupler were used with the decoupling techniques described by Paul and Grant<sup>3</sup> to obtain the carbon-13 chemical shifts of many of the compounds considered in this study. The carbon-13 chemical shifts are calculated from eq 1,<sup>19</sup> where  $\Gamma_i$ =  $f_i/\nu_i$  with  $f_i$  and  $\nu_i$  representing the decoupler and transmitter

$$\delta_{C^{13}} \cong \frac{\Gamma_i - \Gamma_0}{\Gamma_i} - (\delta_{H_i} - \delta_{H_0}) \tag{1}$$

frequencies, respectively, for the most favorable decoupling conditions. The proton chemical shift measured from tetramethylsilane (TMS) is  $\delta$ . The subscript "i" represents the sample and "0" denotes the reference with  $\Gamma_0$  = 3.9764492  $\pm$  0.00000004 and  $\delta_{H_0} = 7.17$  ppm for benzene.

The chemical shifts of those compounds studied after receiving delivery of our new Varian AFS-60 were obtained on this fieldfrequency lock instrument. Operating in the frequency sweep mode the chemical shift is obtained directly from the difference in frequency of a proton-decoupled benzene singlet and the corresponding peaks in the sample compound. A 50% benzene solution was investigated in each of these samples.

#### Results

The chemical shift values for various substituted methanes considered in this investigation are given in Table I. The value of  $\Gamma$ , the proton chemical shifts

 Table I.
 Carbon-13 Chemical Shifts for Selected Halomethanes

Molecule		$\Gamma_i$	δ <sub>H</sub> ,	δ <sub>C</sub> 1 <b>2</b>	Lit values <sup>a</sup>
1.	CH₄				130.85
2.	CH₃Cl	3.97684451	2.99	103.59	103.8, <sup>b</sup> 107
3.	CH₃Br	3.97690244	2.64	118.50	119.3, <sup>b</sup> 115
4.	CH₃I	3.97702264	2.16	149.20	151.0, <sup>b</sup> 148
5.	$CH_2Cl_2$	3.97673815	5.31	74.54	74
6.	CH₂ClBr	3.97679264	4.91	88.65°	89
7.	$CH_2Br_2$	3.97686644	4.98	107.11	106
8.	$CH_2I_2$	3.97716218	3.92	182.52	190
9.	CHCl₃	3.97665242	7.27	$51.0^{-}_{1}$	48
10.	CHCl₂Br	3.97673230	7.01	71.34°	70
11.	CHClBr <sub>2</sub>	3.97681804	6.47	94.14°	93
12.	CHBr₃	3.97691075	6.86	116.37	118
13.	CHI₃	3.97750761	4.89	268.38	266
14.	CCl <sub>4</sub>			32.030	31
15.	CCl₃Br			60.92°	59
16.	$CCl_2Br_2$			<sup>e</sup>	92
17.	CClBr <sub>3</sub>			123.59°	120
18.	CBr <sub>4</sub>			157.16°,d	155

<sup>a</sup> Taken from ref 2 and converted to benzene reference by subtracting 50 ppm. <sup>b</sup> Taken from ref 15. <sup>c</sup> Determined with AFS-60 spectrometer. d 106.15 ppm from CHCl<sub>3</sub>. Sample was not available.

with reference to TMS, and the carbon-13 chemical shifts with respect to benzene are presented along with previous literature values.<sup>2,15</sup>

Additive relations for the alkanes and some of their derivatives have been observed in many kinds of chemical data such as heats of reaction,<sup>20</sup> parachor,<sup>21</sup> the coupling constant,<sup>22</sup> and carbon-13 chemical shifts.<sup>23</sup> These results have suggested that additive substituent effects might also exist for the carbon-13 chemical shifts of the halomethanes. However, the observed reversal in shift values in the  $CH_{4-n}Br_n$  series as the number of bromine atoms increases emphasizes that a simple additive relationship in the number of substituents does

- (20) S. Glasstone "Textbook of Physical Chemistry," 2nd ed, D. Van
- Nostrand Co., Princeton, N. J., 1946, p 202. (21) Reference 20, pp 526-527.

  - (22) E. R. Malinowski, J. Am. Chem. Soc., 83, 4479 (1961).
  - (23) D. M. Grant and E. G. Paul, ibid., 86, 2984 (1964).

<sup>(19)</sup> W. Woolfenden and D. M. Grant, ibid., 88, 1496 (1966).



Figure 1. Predicted chemical shift values are plotted against the experimental quantities. The correlation indicates the general success of the linear expression represented by eq 2. The points are designated by the item number given in Table I.

not exist and that additional terms must be considered if a linear relationship is to be found.

A simple linear expression which might be used to correlate the chemical shift data is

$$\delta_{C_{1s}}(m) = k + \sum_{i,\nu} p_i \delta_i + \sum p_{ij} \delta_{ij} \qquad (2)$$

where  $\delta_{Cis}(m)$  is the chemical shift of the *m*th halomethane, *k* is an arbitrary scale factor which can be adjusted to accommodate the shifts to any reference value,  $p_i$  and  $p_{ij}$  are populational factors, and  $\delta_i$  and  $\delta_{ij}$  are substituent parameters representing the direct effect of a substituent group and the effect of pair interactions of such groups, respectively. Implicit relationships existing between the halomethane molecules prevent eq 2 from yielding values for all of the parameters. Thus, the chemical shifts for methane and for all of the halomethanes are more appropriately represented with the following set of linearly independent expressions

$$\delta_{C^{13}}(CH_4) = \delta_0 \tag{3}$$

$$\delta_{C^{13}}(CH_3X) = \delta_0 + \Delta(X) \tag{4}$$

$$\delta_{C^{13}}(CH_2XX') = \delta_0 + \Delta(X) + \Delta(X') + \Delta(X-X') \quad (5)$$

$$\delta_{C}^{10}(CHXX'X'') = \delta_{0} + \Delta(X) + \Delta(X') + \Delta(X'') + \Delta(X-X') + \Delta(X-X'') + \Delta(X-X'') + \Delta(X-X'')$$
(6)

$$\delta_{C}^{u}(CXX'X''X'') = \delta_{0} + \Delta(X) + \Delta(X') + \Delta(X'') + \Delta(X'') + \Delta(X-X') + \Delta(X-X') + \Delta(X-X'') + \Delta(X-X'') + \Delta(X'-X'') + \Delta(X'-X'') + \Delta(X'-X'')$$
(7)

where the above substituent parameters are defined in eq 8-10 in terms of the more fundamental constants given in eq 2.

$$\delta_0 = k + 4\delta_{\rm H} + 6\delta_{\rm H-H} \tag{8}$$

$$\Delta(\mathbf{X}) = (\delta_{\mathbf{X}} - \delta_{\mathbf{H}}) + 3(\delta_{\mathbf{H}\mathbf{X}} - \delta_{\mathbf{H}\mathbf{H}})$$
(9)

$$\Delta(X-X') = \delta_{XX'} + \delta_{HH} - \delta_{HX} - \delta_{HX'} \qquad (10)$$

The population matrix for both the direct substituent parameters,  $\Delta(X)$ , as well as the cross-correlated terms,  $\Delta(X-X')$ , is taken from eq 3-7, and these are given in Table II for all of the halogen-substituted methanes

Table II. Population Matrix Used in Chemical Shift Calculation

Molecule	<b>P</b> C1	p <sub>Br</sub>	<i>p</i> 1	<b>P</b> C1C1	PBrBr	<i>P</i> 11	PCIBr
CH₃I			1				
CH₃Br		1					
CH₃Cl	1						
$CH_2I_2$			2			1	
$CH_2Br_2$		2			1		
CH₂BrCl	1	1					1
$CH_2Cl_2$	2			1			
CHI3			3			3	
CHBr₃		3			3		
CHBr <sub>2</sub> Cl	1	2			1		2
$CHBrCl_2$	2	1		1			2
CHCl₃	3			3			
CBr <sub>4</sub>		4			6		
CBr₃Cl	1	3			3		3
$CBr_2Cl_2$	2	2		1	1		4
CBrCl₃	3	1		3			3
CCl <sub>4</sub>	4			6			

considered in this study. A regressional analysis performed on these parameters yielded the results given in Table III. The success of the least-squares fit is ex-

Table III. Results of Least-Squares Regressional Analysis

Number of independent variab	les	7
Number of pieces of data		17
Multiple correlation coefficient	R squared	0. <b>9968</b>
Standard error of estimate		4.0660
Constant term		136.33
$\Delta$ (Cl)	$-33.98 \pm$	3.04
$\Delta(Br)$	$-24.18 \pm$	3.04
$\Delta(\mathbf{I})$	$+7.83 \pm 6$	4.15
$\Delta$ (Cl–Cl)	$+5.44 \pm$	1.87
$\Delta$ (Cl-Br)	$+11.29 \pm$	1.52
$\Delta(Br-Br)$	$+18.88 \pm$	1.87
$\Delta$ (I–I)	$+35.67 \pm$	3.94

hibited both by the high multiple correlation coefficient and by the relatively small standard deviation between the predicted and the experimental quantities which are plotted in Figure 1. While the standard deviation of 4 ppm is considerably greater than the experimental error, it should be noted that this value is less than 2%of the total chemical shift range (32-268 ppm) exhibited by the halomethanes. Figure 1 also portrays the experimental shifts with predicted values obtained from the information contained in Tables II and III. To allow a more flexible analysis of the data, the dependent variable,  $\delta_0$ , was not constrained to equal the methane chemical shift value,  $\delta_{C^{13}}(CH_4)$ . Even so, the value of 136.33 ppm obtained for  $\delta_0$  is only about one standard deviation from the experimental value for  $\delta_{C^{13}}(CH_4)$ , and within the over-all accuracy of the fit the two quantities can be considered to be in fair agreement. The values for the  $\Delta(X)$  and  $\Delta(X-X')$  parameters vary in an orderly manner as one traverses the halogen family from chlorine to iodine. The change in  $\Delta(X)$  is probably due to the variation in electronegativity<sup>24</sup> while in-

(24) G. B. Savitsky and K. Namikawa, J. Phys. Chem., 67, 2430 (1963).

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creased steric involvement for the larger halogens as well as changes in spin pairing are suggested as reasons for the increase in the  $\Delta(X-X')$  parameters. It is of interest to note that  $\Delta(Cl-Br)$  is the mean, within experimental fluctuations, of the  $\Delta(Cl-Cl)$  and  $\Delta(Br-$ Br) values. Thus, trends which reflect the periodic properties of the halogen family exist for these empirical parameters.

#### **Theoretical Considerations**

The screening parameter, usually separated into diamagnetic and paramagnetic terms, is separated along other lines in this study to emphasize certain conceptual features. Using the VB formalism it is possible to subdivide the shielding expression into terms attributed solely to the principal bond structure,  $\sigma_{11}$ , and terms,  $\sigma_{1\mu}^{(del)}$ , arising from cross terms between the principal bond structure and the  $\mu$ th canonical structure. The expression<sup>25</sup> obtained in this manner is

$$\sigma = \sigma_{11} + \sum_{\mu} \sigma_{1\mu}^{(del)}$$
(11)

This equation is valid only if deviations from perfect pairing are minor. Equation 11 is affected only by those structures in the canonical set which alter the spin-pairing features of two electrons centered on the particular carbon-13 under consideration. Thus, a summation of the type given in eq 11 is similar in form to the pairwise summation given in eq 2 as two separate bonds on the same carbon-13 must be involved in the spin-delocalized structure if the chemical shift is to be affected. Any sizable variation in the spin-pairing features will, therefore, become important in discussing those parameters given in Table III which represent pairwise interactions.

Important variations in the value of  $\sigma_{11}$  will result also from the degree of charge polarization and of steric repulsion realized for the various halogens. Chargepolarization effects upon the chemical shift are associated with a contraction or expansion of the orbital radius when the electron density is decreased or enhanced, respectively. As charge transfer is especially pronounced for the very electronegative halogens, the polarization effect is of major consideration and is used to account for the gross shift features which spread the resonance positions of the halomethanes over two-thirds of the total carbon-13 shift range of about 300 ppm.

While it is not possible at the present time to discuss in exact terms the effect of steric repulsion between larger geminal halogens, this feature is considered in a semiquantitative manner. It is proposed that sizable repulsive interactions may be expected to lead to bond elongation and therefore a greater average orbital radius. This increase in the average orbital radius will decrease the  $\langle 1/r^3 \rangle$  term in the paramagnetic shielding expression, and the chemical shift may be expected to move to higher fields. While the charge polarization effects can be expected to influence more directly the  $\Delta(X)$  parameters, steric repulsion between halogens should affect the  $\Delta(X-X')$  parameter more significantly.

A. Charge Polarization Effects on the Chemical Shift. The evaluation of the chemical shift parameter,  $\sigma_{11}$ , for the principal bond structure proceeds in a straightforward manner if one employs a wave func-

(25) Equation 34 of ref 12.

tion<sup>26</sup> of the form

$$\psi_0 = \left[\frac{(2n)!}{2^n}\right]^{-1/2} \sum_{P} (-1)^{P} P[\psi_{aw}(1,2)\psi_{bx}(3,4)\cdots] \quad (12)$$

where

$$\psi_{aw}(1,2) = (1/2)^{1/2} u_{aw}(1,2) [\alpha(1)\beta(2) - \beta(1)\alpha(2)] \quad (13)$$

This function, used also by Jameson and Gutowsky,<sup>11</sup> offers a particularly attractive form since ionic terms are explicitly included in the bond function which is given as

$$u_{aw}(1,2) = n_{aw}[\varphi_a(1)\varphi_w(2) + \varphi_w(1)\varphi_a(2) + \lambda_a\varphi_a(1)\varphi_a(2) + \lambda_w\varphi_w(1)\varphi_w(2)] \quad (14)$$

where the individual atomic hybrid orbitals are written as linear combinations of Slater-type atomic orbitals

$$\varphi_{a}(1) = c_{s}{}^{a}s + c_{x}{}^{a}p_{x} + c_{y}{}^{a}p_{y} + c_{z}{}^{a}p_{z} + c_{xy}{}^{a}d_{zy} + \cdots \quad (15)$$

Grant and Cheney<sup>12</sup> omitted ionic terms in their consideration of the alkanes as only minor contributions result from the inclusion of ionic terms in the chemical shift expression for the relatively nonpolar alkanes. In the haloalkanes, polarization effects are more than minor perturbations, and ionic terms cannot be properly ignored. It should be pointed out, however, that their inclusion alters the screening expression in a way which predicts a charge dependence of carbon-13 chemical shifts which is opposite to the experimentally determined relationship unless the radial distribution of electrons is also varied with the degree of polarization by varying the effective nuclear charge.

Using the same procedure as Jameson and Gutowsky, the diamagnetic part of  $\sigma_{11}$  can be written as

$$\sigma_{11}^{(d)} = 2\sum_{a} n_a^2 \langle \varphi_a(i) | Q(i) | \varphi_a(i) \rangle$$
(16)

where "a" denotes the *a*th bond on the atom, Q(i) is  $e^2/3mc^2r_i$ ,  $\lambda_a$  is the ionic coefficient of the carbon in the *a*th bond, and  $S_a$  is the overlap of the *a*th bond. The paramagnetic term can be expressed as

$$\sigma_{11}^{(p)} = 2\sum_{a} n_a^2 \{ [(1+2\lambda_a S_a + \lambda_a^2) \langle \varphi_a(i) | Q(i,i) | \varphi_a(i) \rangle + \lambda_a^2 \langle \varphi_a(i)\varphi_a(j) | Q(i,j) | \varphi_a(i)\varphi_a(j) \rangle + (1+2\lambda_a S_a + \lambda_a^2) \sum_{b>a} n_b^2 (1+2\lambda_b S_b + \lambda_b^2) [4 \langle \varphi_a(i)\varphi_b(j) | Q(i,j) | \varphi_a(i)\varphi_b(j) \rangle - 2 \langle \varphi_a(i)\varphi_b(j) | Q(i,j) | \varphi_b(i)\varphi_a(j) \rangle ] \}$$
(17)

where

$$Q(i,j) = \frac{-e^2}{3m^2c^2\Delta} \frac{\mathbf{L}_j \cdot \mathbf{L}_k}{r_j^3}$$

By substituting the Slater orbitals into the expressions for the diamagnetic and paramagnetic terms, the following expression for the chemical shift parameter is obtained<sup>27</sup>

(26) A. C. Hurley, J. E. Lennard-Jones, and J. A. Pople, Proc. Roy. Soc. (London), A220, 446 (1953); J. M. Parks, and R. G. Parr, J. Chem. Phys., 28, 335 (1958).

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<sup>(27)</sup> This expression is comparable to eq 21 and 22 of Cheney and Grant.<sup>12</sup> The two equations become equivalent when  $\mu = \nu = 1$ ,  $C_1 = 1$ ,  $f_{11}(p_{ab}) = -\frac{1}{2}$ ,  $\lambda_a = \lambda_b = 0$ , and  $n_a^2 = n_b^2 = \frac{1}{2}$ . The paramagnetic part of this expression is also comparable with eq 20 of Jameson and Gutowsky.<sup>11</sup>

Table IV. Calculated Parameters in the Chemical Shift Calculation<sup>a</sup>

Molecule	ξсн	ξcx	η <sub>CH</sub> <sup>2</sup>	$\eta_{ m cx^2}$	$\lambda_{CH}$	$S_{\rm CH}$	S <sub>cx</sub>	$\Delta \sigma_{11}^{(p)}$
CH₄	3.7400		0.26742		0.3559	0.6100		[130.8]
CH <sub>3</sub> Cl	3.9185	3.7400	0.27448	0.44903	0.3586	0.5826	0.3369	93.21
CH <sub>3</sub> Br	3.9045	3,7400	0.27393	0.44390	0.3584	0.5847	0.3555	96.28
CH₃I	3.8905	3.7400	0.27338	0.43672	0.3582	0.5869	0.3807	99.34
$CH_2Cl_2$	4.0970	3.9185	0.28146	0.45331	0.3617	0.5558	0.3209	53.12
CH <sub>2</sub> ClBr	4.0830	Cl 3.9045	0.28091	Cl 0.45297	0.3615	0.5579	Cl 0.3222	56.39
		Br 3.9185		Br 0.44883			Br 0.3377	
$CH_2Br_2$	4.0690	3.9045	0.28037	0.44845	0.3612	0.5600	0.3391	59.64
$CH_2I_2$	4.0410	3.8905	0.27928	0.44098	0.3607	0.5642	0.3658	66.14
CHCl <sub>3</sub>	4.2755	4.0970	0.28828	0.45750	0.3652	0.5299	0.3048	10.56
CHCl₂Br	4.2615	Cl 4.0830	0.28776	Cl 0.45718	0.3649	0.5319	Cl 0.3060	14.03
		Br 4.0970		Br 0.45361			Br 0.3198	
CHClBr <sub>2</sub>	4.2475	Cl 4.0690	0.28723	Cl 0.45686	0.3646	0.5339	Cl 0.3073	17.49
		Br 4.0830		Br 0.45324			Br 0.3212	
CHBr₃	4.2335	4.0690	0.28669	0.45287	0.3643	0.5359	0.3226	20.93
CHI3	4.1915	4.0410	0.28509	0.44501	0.3635	0.5420	0.3515	31.24
CCl <sub>4</sub>		4.2755		0.46113			0.2903	- 34.40
CCl₃Br		Cl 4.2615		Cl 0.46087	• • •		Cl 0.2914	- 30.73
		Br 4.2755		Br 0.45830			Br 0.3016	
$CCl_2Br_2$		Cl 4.2475		Cl 0.46061			Cl 0.2924	-27.08
		Br 4.2475		Br 0.45751			Br 0.3048	
CClBr <sub>3</sub>		Cl 4.2355		Cl 0.46035			Cl 0.2935	-23.44
		Br 4.2475		Br 0.45751			Br 0.3048	
CBr <sub>4</sub>		4.2335		0.45715			0.3062	- 19.82

<sup>a</sup> Values obtained from eq 18 were adjusted to the benzene chemical shift scale by offsetting the theoretical values so as to get exact agreement between the theoretical and experimental values for methane. The calculated values for  $\sigma_{11}$  can be obtained from  $\Delta \sigma_{11}$  by subtracting 416.80 ppm.

Table V. Bond Distances in the Halomethanes<sup>a</sup>

	Chlorides		Bromides		Iodides	
Formula	$R_{\rm CH}$	R <sub>cc1</sub>	$R_{ m CH}$	$R_{\rm CBr}$	$R_{CH}$	Rci
CH₄	1.0938		1.0938		1.0938	
CH <sub>3</sub> X	1.0959	1.78123	1.0954	1.9388	1.0958	2.1387
$CH_2X_2$	1.068	1.7724	1.093°	1.907	<sup>b</sup>	2.12
CHX3	1.073	1.762	1.068	1.930	<sup>b</sup>	2.12
CX4		1.766		1.942		2.15

<sup>a</sup> Obtained from L. E. Sutton, Ed., "Tables of Interatomic Distances and Configurations in Molecules and Ions," The Chemical Society, London, 1958, Supplement, 1956-1959, The Chemical Society, London, 1965. <sup>b</sup> Not available. <sup>c</sup> Assumed values.

$$\sigma_{11} = A \sum_{a} \langle 1/r \rangle_{a} n_{a}^{2} (1 + 2\lambda_{a}S_{a} + \lambda_{a}^{2}) - 2B [\sum_{a} \langle 1/r^{3} \rangle_{a} \times n_{a}^{2} (1 + 2\lambda_{a}S_{a} + \lambda_{a}^{2})P^{a} - \sum_{a,b > a} \langle 1/r^{3} \rangle_{ab} n_{a}^{2} n_{b}^{2} (1 + 2\lambda_{a}S_{a} + \lambda_{a}^{2}) (1 + 2\lambda_{b}S_{b} + \lambda_{b}^{2})P^{ab}]$$
(18)

where  $A = e^2/3mc^2a_0 = 17.75$  ppm,  $B = 2e^2h^2/3m^2$ . where  $A = e^{-1/2} ppm/a$ ,  $P^a = \sum_{i=x,y,z} (c_i^a)^2$ ,  $P^{ab} = (c_z^a c_y^b)^2 - c_y^a c_z^b)^2 + (c_z^a c_z^b - c_z^a c_z^b)^2 + (c_y^a c_z^b - c_z^a c_z^b)^2 + (c_y^a c_z^b - c_z^a c_y^b)^2$ ,  $\langle 1/r \rangle_a = \xi_a/4$ ,  $\langle 1/r^3 \rangle_a = \xi_a^3/24$ , and  $\langle 1/r^3 \rangle_{ab} = (\xi_a \xi_b)^{5/2}/6(\xi_a + \xi_b)^2$ . The geometry of the compounds considered in this study is very closely approximated by the tetrahedral configuration, and under this assumption  $P^a = {}^{3}/_{4}$  and  $P^{ab} = {}^{1}/_{2}$ . The overlap integral,  $S_a$ , was obtained theoretically

using atomic orbitals of the following form

$$\Phi_a = N Y_{l,m}(\theta,\varphi) R_{an}(r) \tag{19}$$

$$R_{an}(r) = r^{n-1} \exp(-\xi_a r/na_0)$$
(20)

where N is a normalization constant and  $\xi$  is a bond effective nuclear charge. These values are tabulated in Table IV for both C-H and C-X bonds. Values for  $n_a$  and  $n_b$  were obtained by normalizing the appropriate wave functions. The effective nuclear charge,  $\xi_a$ , and ionic coefficient,  $\lambda_a$ , were calculated from polarization charges using, respectively, the expressions 21 and 22,

$$\xi_a = \xi_0 \beta - 0.35 \sum_{k \neq a} (q_k - 1)$$
(21)

$$q_k = \frac{2\lambda_k S_k + \lambda_k^2}{2 + 2S_k^2 + 4\lambda_k S_k + \lambda_k^2}$$
(22)

where  $\xi_0 = 3.25$ ,  $\beta = 1.15$ , <sup>28</sup> and  $q_k$  is the charge density in electron units in the carbon orbital of the kth valence bond. Thus, several parameters used in eq 18 depend upon the choice of values for  $q_k$ . Approximate values of  $q_k$  for various carbon-halogen bonds can be related to  $q_{\rm CH}$  with the following empirical relationship<sup>18</sup>

$$q_{\rm CX} = q_{\rm CH}(\mu_{\rm CX}R_{\rm CH}/\mu_{\rm CH}R_{\rm CX})$$
(23)

where  $\mu$  and R are dipole moments and bond distances, respectively. Suggested values for  $q_{CH}$  have ranged from 0.04 to 0.33 electron. However, previous work<sup>1,5,18,29,30</sup> indicates that values from 0.1 to 0.2 electron lead to reasonable theoretical correlation of carbon-13 chemical shifts and C13-H coupling constants.

(28) C. A. Coulson, Trans. Faraday Soc., 38, 433 (1942).

(29) M. Karplus and D. M. Grant, Proc. Natl. Acad. Sci. U.S., 45,

1269 (1959). (30) See also the extended HMO work of R. Hoffman, J. Chem. Phys., 39, 1397 (1963).

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Figure 2. The experimental chemical shifts are compared with the theoretical values predicted by a charge polarization model utilizing only the principal bond structure.

To be consistent with the previous VB work on chemical shift calculations,<sup>12</sup> we shall use 0.15 electron for  $q_{\rm CH}$ . Using literature values for  $\mu^{31a}$  and  $R^{31b}$  values for  $q_{CCI}$ ,  $q_{CBr}$ , and  $q_{CI}$  are calculated from eq 23 to be -0.36, -0.32, and -0.28, respectively. The bond effective nuclear charges calculated from the  $q_k$ 's by means of eq 26 are given in Table IV along with the values for the ionic coefficient. The values of  $\sigma_{11}$  obtained from the respective parameters given in Table IV were calculated with eq 18 and then scaled by +416.80ppm so that the experimental and theoretical values for methane would agree. A comparison of predicted and experimental values is given in Figure 2 for the compounds in which the halogens are not mixed. The mixed compounds have intermediate values between the extremes and are not included in Figure 2 for the sake of clarity. It is obvious from Figure 2 that the application of charge polarization in the "perfect pairing" approximation (only  $\sigma_{11}$  considered) is not adequate to explain the upward trends present in both the Br and I series. The essential features of the Cl series are rationalized with charge-transfer effects, and it is concluded that other effects, spin delocalization and steric repulsion, are less important in the chlorine series.

**B.** Steric Effects on the Chemical Shift. Steric changes in the bond distance between two atoms can be expected to alter the effective orbital radius of the electrons associated with bonded atoms, and these changes should then affect the chemical shift parameter through the  $\langle 1/r^3 \rangle$  terms. Bond distances given in Table V are

(31) (a) E. A. Moelwyn-Hughes, "Physical Chemistry," 2nd revised ed, Pergamon Press, New York, N. Y., 1961, p 530, but using the value of 0.4 D for the CH bond from ref 27; (b) *ibid.*, p 1042.



Figure 3. Steric corrections upon the  $\langle 1/r^3 \rangle$  term improve the agreement between theoretical and experimental shifts for the chloromethanes.

available for some of the halogenated methanes, and these distances are used to approximate the average orbital radius of the bonding electron in the following manner. First, an improved covalent radius in atomic units is obtained from

$$r_{\rm C}({\rm CX}) = R_{\rm CX} \left( \frac{\rho_{\rm C}}{\rho_{\rm C} + \rho_{\rm X}} \right)$$
(24)

where  $r_{\rm C}({\rm CX})$  is the covalent radius,  $R_{\rm CX}$  is the bond distance, and  $\rho_{\rm C}$  and  $\rho_{\rm X}$  are standard covalent radii.<sup>32</sup> It is understood, of course, that these standard covalent radii are in themselves averages obtained from bond distances in a variety of molecules so that the values obtained from these calculations are, admittedly, only approximations for estimating relative changes in bond distances for a particular family of halogens.

The chemical shift parameter can then be changed to include the effects of varying bond distances by the inclusion of an additional term given by

$$l_a = \frac{r_{\rm C}({\rm CH}_4)}{r_{\rm C}({\rm CX})}$$
  $l_{ab} = (l_a l_b)^{1/2}$  (25)

so that the radial components are altered in eq 18 with the following substitutions.

$$\langle 1/r^{3}\rangle_{a} = l_{a}^{3}\langle 1/r^{3}\rangle_{a}$$

$$\langle 1/r^{3}\rangle_{ab} = l_{ab}^{3}\langle 1/r^{3}\rangle_{ab}$$

$$\langle 1/r\rangle_{a} = l_{a}\langle 1/r\rangle_{a}$$

$$(26)$$

The result of correcting eq 18 with the concepts embodied in eq 26 are shown in Figures 3 and 4 for the chlorine and bromine series. The distance data for the iodine compounds are not complete and cannot be considered at present. The effect of steric alterations

(32) As given by Moelwyn-Hughes in ref 31, p 25, the values are:  $\rho_{\rm C}=0.771, \, \rho_{\rm H}=0.3707, \, \rho_{\rm C1}=0.994, \, \rho_{\rm Br}=1.1415, \, \rho_{\rm I}=1.3333.$ 

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Figure 4. Steric corrections upon the  $\langle 1/r^3 \rangle$  term for the bromomethanes reflect some of the anomalous trends noted in the experimental values.

of the bond distances is to raise the calculated curve closer to the actual experimental values in both instances. In the bromine series this correction results in the  $\sigma_{11}$  curve reversing itself as does the experimental data. Table VI lists the values of the terms used in this

Table VI.  $^{a}$  Calculated Steric Parameters Affecting the Chemical Shift

Mole- cule	<i>l</i> a <sup>3</sup> CH	<i>l</i> <sub>b</sub> <sup>3</sup> СХ	(ab <sup>3</sup> CHCX	σ	Chemical shift <sup>b</sup>
CH <sub>4</sub> CH <sub>3</sub> Cl CH <sub>3</sub> Br CH <sub>2</sub> Cl <sub>2</sub> CH <sub>2</sub> Br <sub>2</sub> CHCl <sub>3</sub> CHPr	1.0000 0.9940 0.9955 1.0741 1.0021 1.0590 1.0741	0.8558 0.8442 0.8683 0.8870 0.8839 0.8558	0.9223 0.9167 0.9657 0.9428 0.9675 0.9587	$\begin{array}{r} -286.00 \\ -310.62 \\ -307.23 \\ -356.44 \\ -337.14 \\ -376.83 \\ 360.46 \end{array}$	[130.8] 106.18 109.57 60.36 79.66 39.97 56.24
CCl <sub>4</sub> CBr <sub>4</sub>		0.8338		- 390.46 - 390.56 - 359.64	26.24 57.16

<sup>a</sup> The values for all other terms in the calculation are given in Table IV. <sup>b</sup> Values obtained from eq 18 were adjusted to the benzene chemical shift scale by offsetting the theoretical values so as to get exact agreement between the theoretical and experimental values for methane. The calculated values for  $\sigma_{11}$  can be obtained from  $\Delta \sigma_{11}$  by subtracting 416.80 ppm.

calculation. Admittedly the assumptions are quite crude, but the calculation does substantiate the position that sterically induced variations in the bond distance are sufficiently large that they may account for some of the anomalous trends noted in the carbon-13 chemical shift parameter in the halomethanes. Furthermore, the terms of  $l_a$  and  $l_{ab}$  exhibit a similar populational relationship in their effect upon the chemical shift as was noted for the substituent parameters  $\Delta(X)$  and  $\Delta(X-X')$ , respectively.

While good structural data for the iodine series are not available at present, it is expected that steric effects will be more pronounced with iodine than either bromine or



Figure 5. Schematic representation of orbitals used in VB treatment of electron delocalization.

chlorine. Pending additional theoretical work with better structural information it is proposed that the positive iodine substituent parameter,  $\Delta(1)$ , arises primarily from a steric interaction. Equation 9 indicates that the simple substituent parameters do have a proton halogen interaction term which could reflect interactions between geminal groups. The pair correlation parameters should always be positive when dominated by repulsive steric terms as can be seen from eq 10.

C. Electron Delocalization Effects on the Chemical Shift. Bartell<sup>33</sup> has pointed out that it is not possible to separate nonbonded repulsive effects of the type considered in the last section from electron delocalization effects which are characterized in the VB formalism with deviations from perfect pairing. Relief of steric repulsions was proposed as a principal reason for the existence of unusual long-range bond structures. This being the case, steric and delocalization effects upon the chemical shift would properly be considered as two different expressions of the same structural feature. Even so, the chemical shift equation is affected in two distinct ways and the discussion is subdivided into two parts for convenience. The previous section considered changes in the  $\langle 1/r^3 \rangle$  term which results from variations in the actual molecular geometry. This section deals with changes in the chemical shift arising from differences in the spin-pairing features associated with electron delocalization. The effect of electron delocalization has been given for the  $\mu$ th canonical structure in eq 11 with

$$\sigma_{1\mu}^{(\text{del})} = -BC_1 C_{\mu} 2^{-n+i_{1\mu}} \sum_{a,b>a} \langle 1/r^3 \rangle_{ab} P^{ab}[2f_{1\mu}(p_{a,b}) + 1]$$
(27)

where the various terms are defined in ref 12. A fourelectron structure of importance in discussing delocalization in the halomethanes is given in Figure 5. The coefficients  $C_1$  and  $C_{\mu}$  for this four-electron system have been

(33) L. S. Bartell, Tetrahedron, 17, 177 (1962).

given<sup>12</sup> as follows.

$$C_1 \cong 1$$
 (28)

$$C_{\mu} = \frac{K_{ab} + K_{vv} - K_{av} - K_{bw}}{2(K_{aw} + K_{bv})}$$
(29)

When w and v are both hydrogens, the negative H-H integral  $K_{vw}$  is of comparable magnitude with the positive Hund exchange integral,  $K_{ab}$ , and thus these two terms tend to cancel one another, thereby increasing the importance of the smaller  $K_{av}$  and  $K_{bw}$  integrals. Substitution of a halogen for a hydrogen might be expected to decrease the negative  $K_{vw}$  integral relative to the methylene case as the halogen  $\sigma$ -bond orbital has more directional characteristics than does the 1s hydrogen orbital. As the denominator in eq 29 is a negative quantity the effect of this change in  $K_{vw}$  with halogen substitution is to make  $C_{\mu}$  more negative. This will result in an upfield shift as indicated by eq 27 as all other 1407

terms  $[f_{1\mu}(p_{ab}) = +1, P^{ab} = +1/2]$  are positive quantities. If both v and w are halogens, even greater parallel spin correlation might be expected for the same reasons given above. The effect of altering electron spin pairing in this manner can be expected to result in an even greater upfield shift. Experimentally, this is the trend which is observed. The unavailability of values for the pertinent exchange integrals prevents, for the present, a quantitative calculation of the magnitude of this effect, but shifts of several parts per million were calculated in the alkanes<sup>12</sup> and the effects may be even greater in the halomethanes.

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# Iodo- and Fluoropentaboranes. Nuclear Magnetic Resonance Comparison of 2-Pentaborane(9) Derivatives<sup>1</sup>

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Abstract: Pentaborane(9) derivatives of the type  $1-XB_5H_8$  are more stable when X = heavier halogen, whereas  $2-XB_5H_8$  compounds are more stable when X = lighter halogen. The reason may be found in the different  $\pi$ -type interactions with the  $B_5$  cage, and similar ideas explain some otherwise anomalous <sup>11</sup>B nmr chemical shifts. The catalytic isomerization of  $1-IB_5H_8$  to the far less stable new isomer  $2-IB_5H_8$  (mp  $-39^\circ$ ; bp  $167^\circ$  est) requires steady removal of this more volatile product. It is argued that halogen exchanges on the  $B_5$  skeleton are done best by gas-flow processes at minimal pressure, as when HgCl<sub>2</sub> converts  $1-IB_5H_8$  to  $1-CIB_5H_8$  or SbF<sub>3</sub> converts  $2-IB_5H_8$  to the new  $2-FB_5H_8$  (mp  $-63^\circ$ ; bp  $71^\circ$  est). However, the analogous synthesis of  $1-FB_5H_8$  gave a product too unstable to be isolated. The <sup>11</sup>B nmr comparisons include all four of the 2-halogenated pentaboranes and  $2-CH_3-B_5H_8$ , and accurate vapor-phase infrared spectra are reported for the five known XB<sub>5</sub>H<sub>8</sub> compounds not previously so recorded.

**P**entaborane derivatives of formula-type  $RB_5H_8$  so far have been limited to R = hydrocarbon or halogen other than F. They usually are formed by substitution at the l (pyramid-peak) position, by methods suggestive of proton replacement by other positive ions (e.g.,  $CH_3^+$  or  $X^+$ ); then a catalytic skeletal rearrangement may lead to the 2-RB<sub>5</sub>H<sub>8</sub> isomer. Replacement of one R by another apparently has been done only with halogens, often with yields far short of 100%.

The difficulty of such replacement may relate to the character of the pentaborane skeleton. The boron sites can be invaded only by strong bases, which tend to remove  $BH_3$  groups<sup>2</sup> rather than displace hydride or halide. If X<sup>+</sup> were removed by some means, the re-

maining lone-pair electrons on boron would have strongbase character leading to extensive reaction with other pentaborane units. Or, if X<sup>-</sup> were taken off, the opened boron site would have almost a hemisphere empty of electrons, with only strong Lewis-acid reactivity to relieve the strain; then an attack upon the XB<sub>5</sub>H<sub>8</sub> substrate would be likely.

By the latter argument, replacement of halide in  $XB_5H_8$  should be most effective if a strong halide-exchange reagent were employed at pressures so low as to make  $XB_5H_8$  only very poorly available to the  $B_5H_8^+$  unit remaining after removal of halide. Otherwise, the reaction of  $B_5H_8^+$  with  $XB_5H_8$  must lead to a variety of polyboranes and resinous products.

In agreement with this hypothesis, a 105° sealed-tube reaction of  $1-IB_5H_8$  with HgCl<sub>2</sub> gave only a 7% yield of  $1-ClB_5H_8$  (with other volatiles and resins), whereas the same reactants in a 100° flow process at minimal pressure gave a 58% yield of  $1-ClB_5H_8$ .

A similar low-pressure flow process was applied to the new isomer  $2-IB_{5}H_{8}$  with SbF<sub>3</sub>, giving a 40% yield of the

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<sup>(2)</sup> A. B. Burg, J. Am. Chem. Soc., 79, 2129 (1957).